

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)ATTY DOCKET NO.
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Rule 1.53(b) Div of SN 09/700,132 filed November 9,APPLICANT
Shin-Itsu TAKEHASHI et al.FILING DATE
June 30, 2003GROUP
2815

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MX	5,089,663	02/1992	Satoh et al.	257	388	
	5,471,080	11/1995	Satoh et al.	257	344	
	5,880,015	03/1999	Hata	438	585	
	5,977,586	11/1999	Crisenza et al.	257	326	
	5,989,967	11/1999	Gardner et al.	438	305	
	5,981,383	11/1999	Lur et al.	438	655	
	6,069,387	05/2000	Gardner	257	344	
✓	6,284,613	09/2001	Subrahmanyam et al.	438	307	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION NO YES	
MX	3-61-36975	02/1986	Japan	257	344		
	3-63-9154	01/1998	Japan	438	158		
	4-2-226727	09/1990	Japan	438	305		
	4-5-21454	01/1993	Japan	H01L	21/338		
	4-5-243261	09/1993	Japan	257	408		
	4-6-260645	09/1994	Japan	H01L	29/784		
✓	3-60-127761	07/1985	Japan	H01L	29/78		

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

MX	"Spacer Formation on Electrodes with Non-Vertical Sidewalls," IBM Technical Disclosure Bulletin, Nov. 1, 1984, Vol. 27, No. 6.
	TDB-ACC-No. NN84113464, pp 3464-66.
EXAMINER:	DATE CONSIDERED: 2/9/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.